

# Visual Search Engine for Handwritten and Typeset Math in Lecture Videos and $\text{LATEX}$ Notes

Kenny Davila and Richard Zanibbi

August 6, 2018

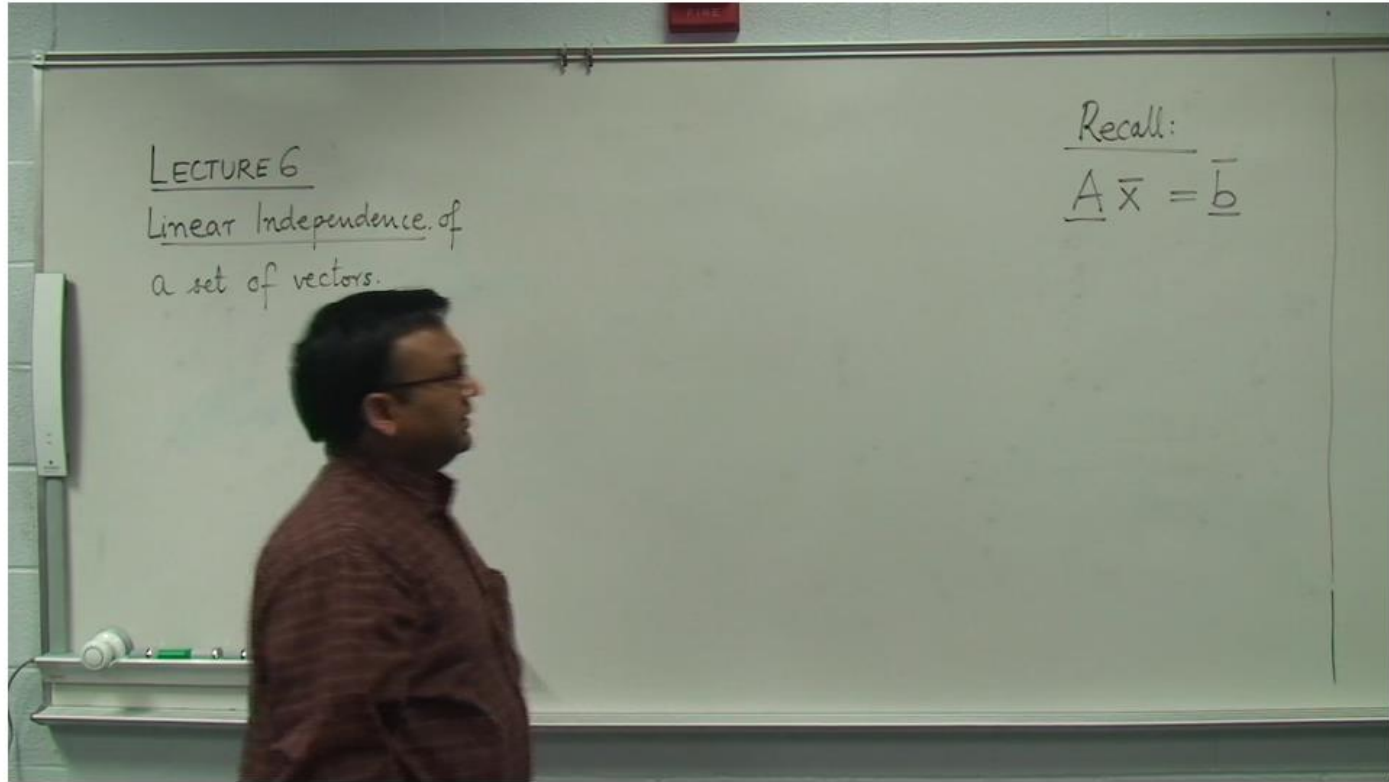


Center for Unified Biometrics and Sensors





Normal Binary Content Select



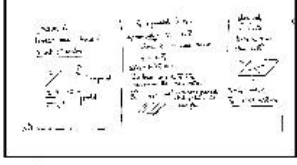
1 / 15 - 00:00:00-00:04:56



2 / 15 - 00:04:56-00:07:23



3 / 15 - 00:07:23-00:09:53



4 / 15 - 00:09:53-00:11:00

<< Prev Next >>



BLU



52%

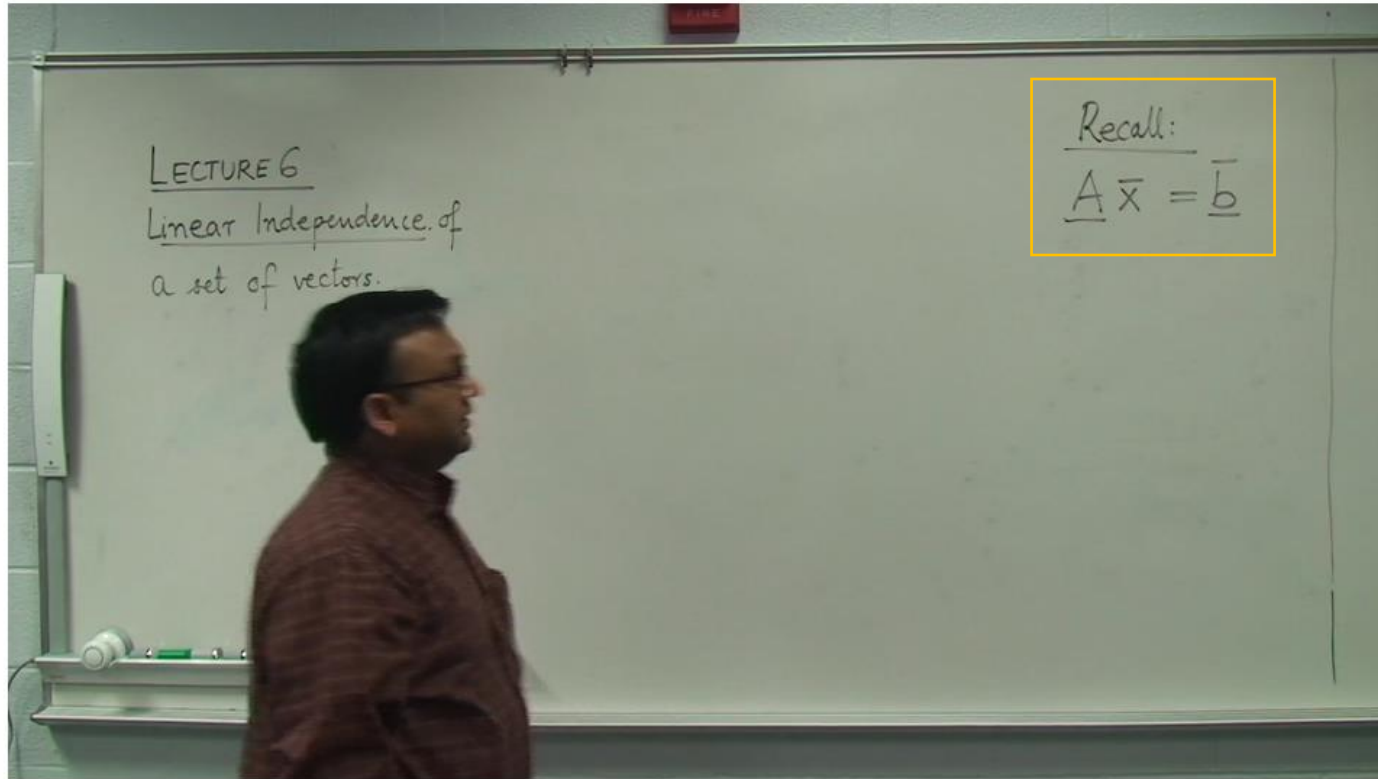
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Normal

Binary

Content

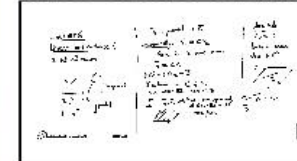
Select



1 / 15 - 00:00:00-00:04:56



2 / 15 - 00:04:56-00:07:23



3 / 15 - 00:07:23-00:09:53



4 / 15 - 00:09:53-00:11:00

[<< Prev](#)

[Next >>](#)



BLU

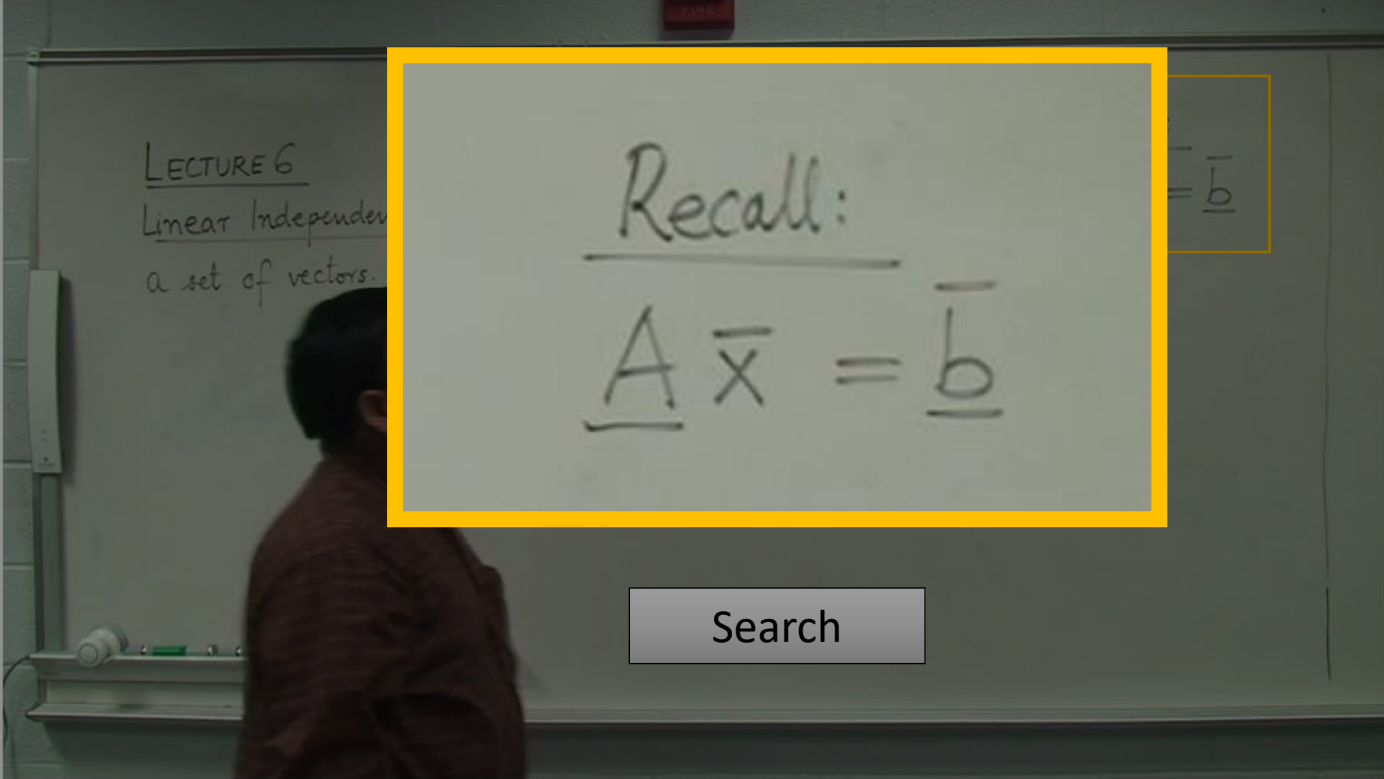


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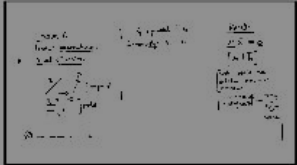
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Content

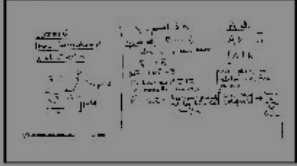
Select



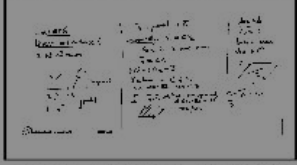
Search



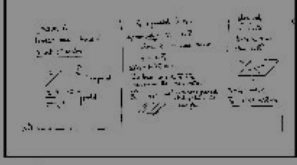
1 / 15 - 00:00:00-00:04:56



2 / 15 - 00:04:56-00:07:23



3 / 15 - 00:07:23-00:09:53

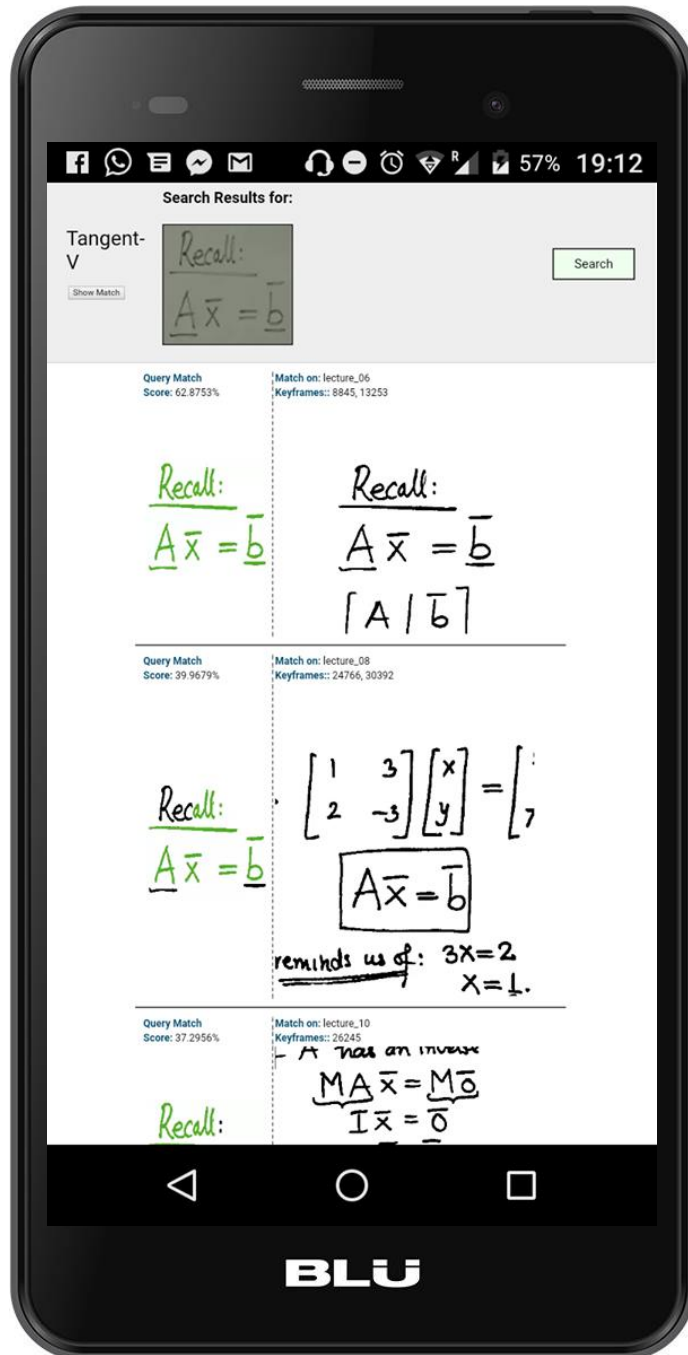


4 / 15 - 00:09:53-00:11:00

<< Prev Next >>



BLU



## SEARCH RESULTS

### Found in Lecture Videos

1. Linear Algebra – Lecture 06
2. Linear Algebra – Lecture 08
3. Linear Algebra – Lecture 10
- ...

### Related Topics

1. [Systems of Equations](#)
2. [Matrix Reduction](#)
3. [Linear Algebra](#)

What about other  
Mathematical Expressions?

Could I write my **queries** instead  
of using Images?

What about other  
Mathematical Expressions?

Could I write my **queries** instead  
of using Images?

Yes, using  $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$

Tangent-V

Show Match

Search Results for:

$f(x)$

Query Match #1  
Score: 75.5223%

Match on: lecture\_11/4.png  
Locations: : lecture\_11:26100

$f(x)$

$0$

$f(x)=0 \forall x$

$\begin{pmatrix} 0 & \dots & 0 \\ \vdots & & \vdots \\ 0 & \dots & 0 \end{pmatrix} |$

---

Query Match #2  
Score: 74.9606%

Match on: lecture\_11/3.png  
Locations: : lecture\_11:22591

$f(x)$

$\forall x$

$ax^3+bx^2+cx$

$ax^3-bx^2-cx-$

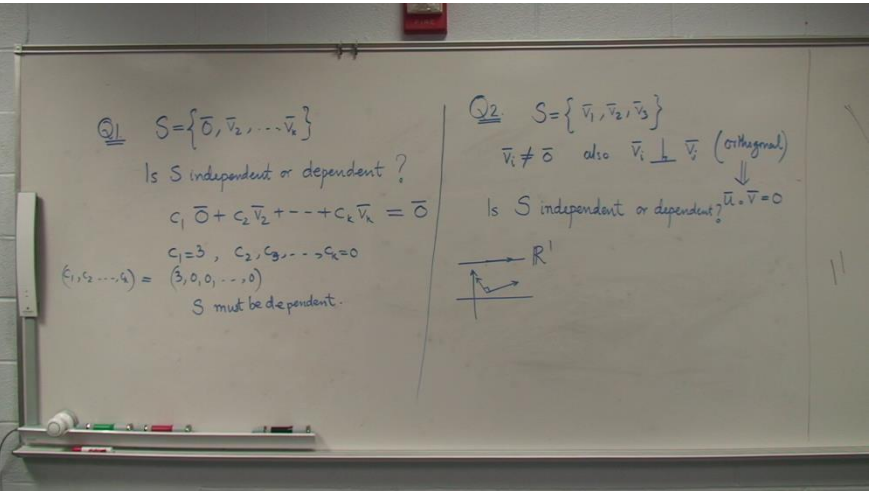
$f(x) \dots \rightarrow$

# Potential Search Modes

L<sup>A</sup>T<sub>E</sub>X → Whiteboard

L<sup>A</sup>T<sub>E</sub>X → L<sup>A</sup>T<sub>E</sub>X

Lecture Video



**Linear Independence**

**Question:** Consider the set of vectors  $S = \{\vec{0}, \vec{v}_2, \dots, \vec{v}_k\}$ . Is  $S$  independent or dependent? To answer this, we must ask whether the following homogeneous equation has a non-zero solution:

$$c_1 \vec{0} + c_2 \vec{v}_2 + \dots + c_k \vec{v}_k = \vec{0}$$

Consider for instance the solution  $c_1 = 3, c_2, c_3, \dots, c_k = 0$ . This is a non-zero or non-trivial solution, hence  $S$  must be dependent.

**Question:** Let  $S = \{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$ . Suppose none of the vectors in  $S$  are zero vectors, and each is perpendicular to one another. That is,

$$\vec{v}_i \neq \vec{0} \quad \vec{v}_i \perp \vec{v}_j \quad \text{for all } i \neq j$$

Lecture Notes

Whiteboard → Whiteboard

Whiteboard → L<sup>A</sup>T<sub>E</sub>X



# Tangent-V Visual Search Engine

Applied to **Indexing** and **Retrieval** of formulae from **Lecture** materials

Based on Matching **Symbol Pairs** from **Line of Sight Graphs (LOS)**

**Domain knowledge** is given by Recognition Module

- Currently: **Mathematical Symbol Recognition**

Source **code** released: <https://cs.rit.edu/~dprl/Software.html>

# Related Work

Related fields:

- Content-Based Image Retrieval [1]
- Word Spotting [2]
- **Mathematical Information Retrieval** [3]
  - Formula Representation: Semantic vs **Appearance**
  - Retrieval Modality: Symbol vs **Image-based**
  - **Tangent-V** generalizes the **Tangent-S** formula retrieval model [4]

[1] J. Sivic & A. Zisserman, "Video Google: A text retrieval approach to object matching in videos," in ICCV 2003

[2] S. Sudholt & G. A. Fink, "Phocnet: A deep convolutional neural network for word spotting in handwritten documents," in ICFHR 2016

[3] R. Zanibbi & D. Blostein, "Recognition and retrieval of mathematical expressions," IJDAR, vol. 15, no. 4, 2012.

[4] K. Davila & R. Zanibbi, "Layout and semantics: Combining representations for mathematical formula search," SIGIR, 2017

# Tangent-V Overview

Indexing  
Pipeline

Navigation  
Pipeline

Retrieval  
Pipeline

# Supplementary Lecture Notes ( $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$ )

## Input Lecture Notes

it is initially unclear how the orthogonality will come into play, but consider taking the dot

### Matrices

#### Linear Independence

**Question:** Consider the set of vectors  $S = \{\vec{0}, \vec{v}_2, \dots, \vec{v}_k\}$ . Is  $S$  independent or dependent? To answer this, we must ask whether the following homogeneous equation has a non-zero solution:

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$\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$



## Output Math Expressions

$$S = \{\vec{0}, \vec{v}_2, \dots, \vec{v}_k\} \quad S$$

$$c_1 \vec{0} + c_2 \vec{v}_2 + \dots + c_k \vec{v}_k \quad S$$

$$c_1 = 3 \quad c_2, c_3, \dots, c_k = 0$$

$$S = \{\vec{v}_1, \vec{v}_2, \vec{v}_3\}$$

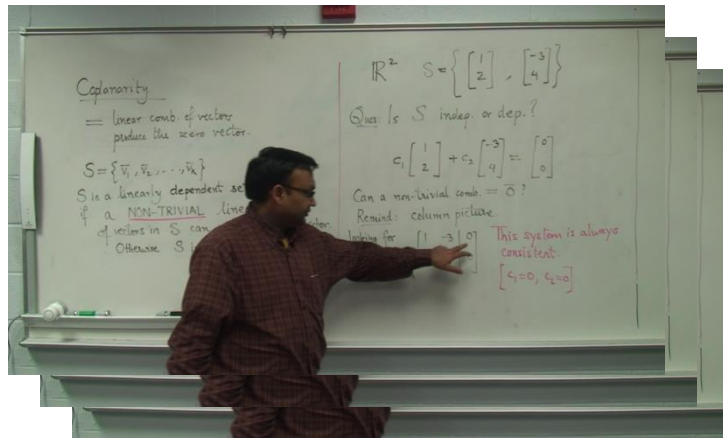
$$\vec{v}_i \neq \vec{0} \quad \vec{v}_i \perp \vec{v}_j \quad \text{for all } i \neq j$$

Binary  
Images

# Preprocessing Lecture Video Summarization [1]

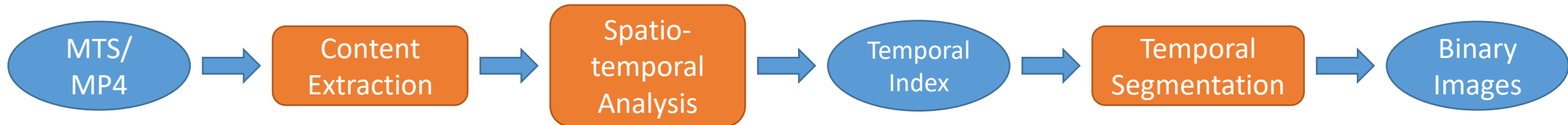
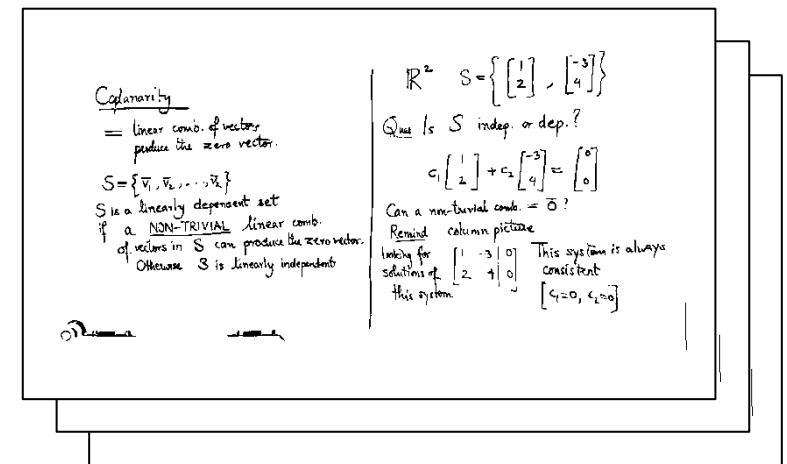
**Input**

**Lecture Video**



**Output**

**Whiteboard Contents Keyframes**



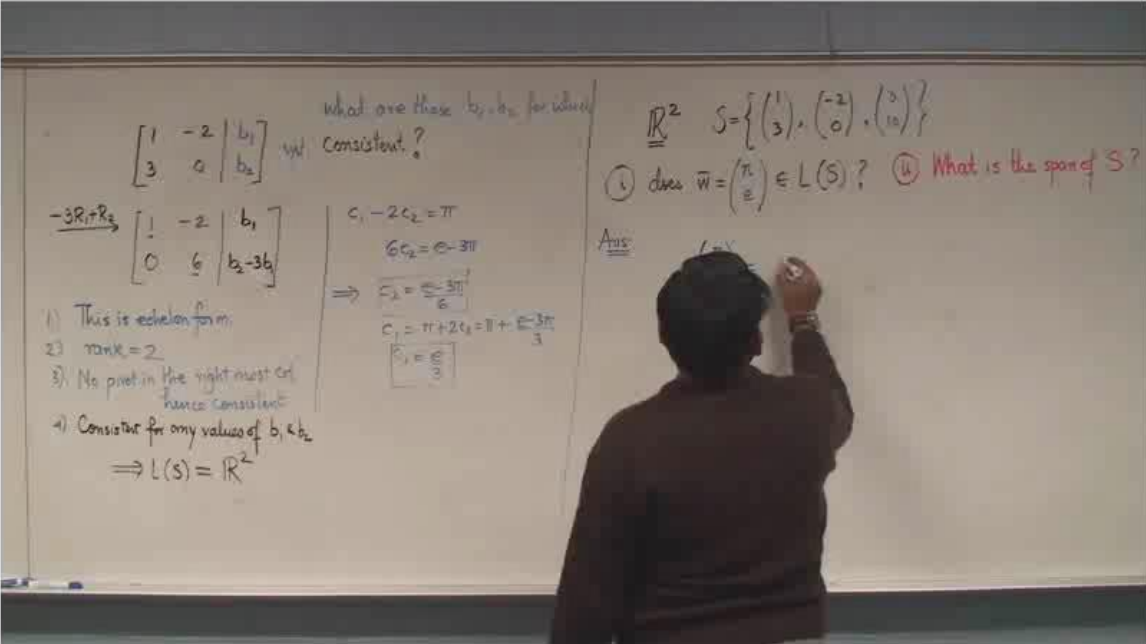
# Lecture Video Navigation from Keyframes

QueryQuery-16   AccessMath - Lecture View

localhost/?lecture=NM\_lecture\_05&t=2516

## View Lecture - NM\_lecture\_05

Normal   Binary   Content



What are these  $b_1, b_2$  for which consistent?

$$\begin{bmatrix} 1 & -2 & | & b_1 \\ 3 & 0 & | & b_2 \end{bmatrix}$$

$-3R_1 + R_2 \rightarrow \begin{bmatrix} 1 & -2 & | & b_1 \\ 0 & 6 & | & b_2 - 3b_1 \end{bmatrix}$

$c_1 - 2c_2 = \pi$   
 $6c_2 = \pi - 3\pi$   
 $\Rightarrow c_2 = \frac{\pi - 3\pi}{6}$   
 $c_1 = \pi + 2c_2 = \pi + \frac{\pi - 3\pi}{3}$   
 $c_1 = \frac{0\pi}{3}$

$\mathbb{R}^2 \quad S = \left\{ \begin{pmatrix} 1 \\ 3 \end{pmatrix}, \begin{pmatrix} -2 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 10 \end{pmatrix} \right\}$

① does  $\vec{w} = \begin{pmatrix} \pi \\ \pi \end{pmatrix} \in L(S)$ ?   ② What is the span of  $S$ ?

Ans:  $L(S) = \mathbb{R}^2$

1) This is echelon form.  
2) rank = 2.  
3) No pivot in the right most col hence consistent.  
4) Consistent for any values of  $b_1, b_2$   
 $\Rightarrow L(S) = \mathbb{R}^2$

1 / 21 - 00:00:00-00:01:38

2 / 21 - 00:01:38-00:03:14

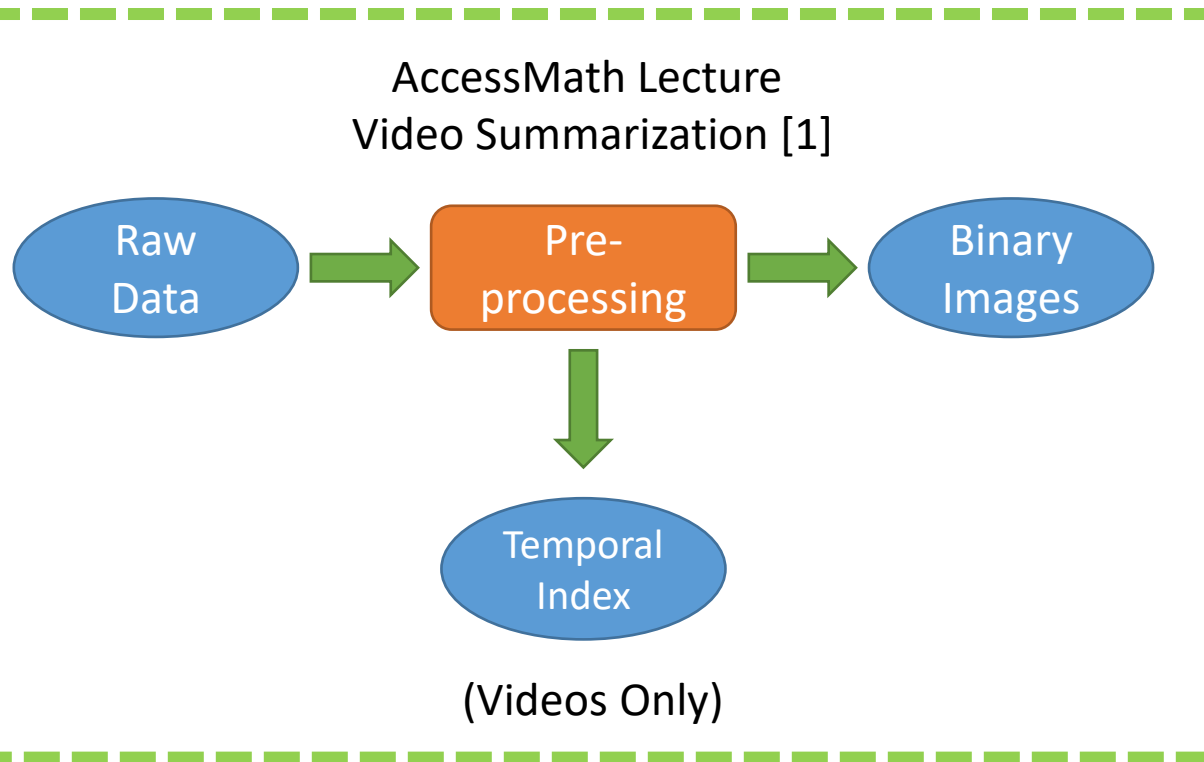
3 / 21 - 00:03:14-00:09:59

4 / 21 - 00:09:59-00:11:33

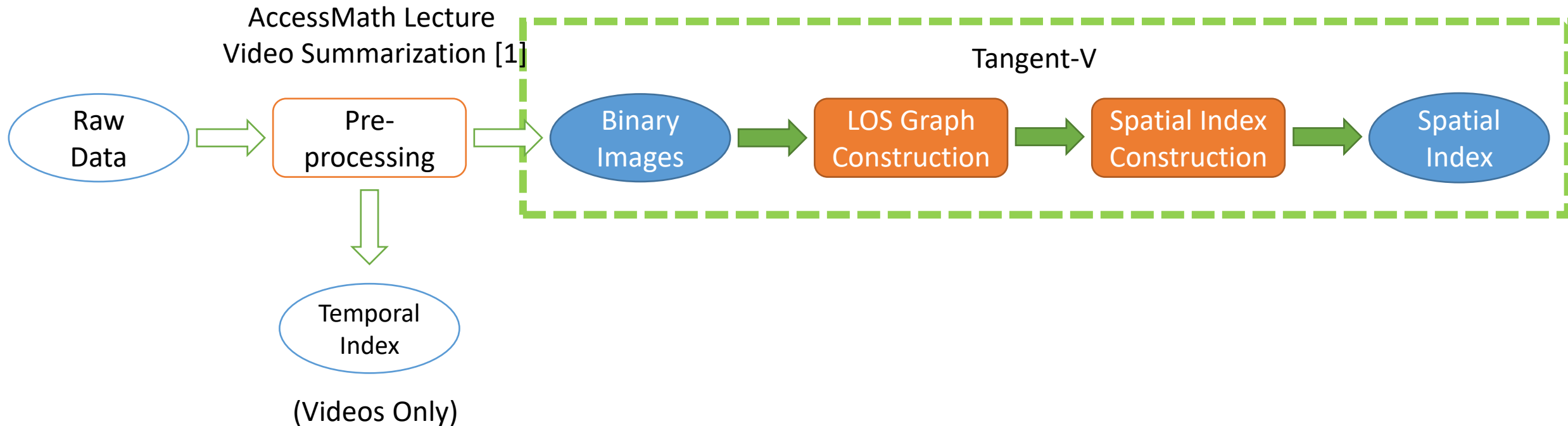
<< Prev   Next >>

Type here to search   AccessMath - Lectu...   Streaming - VLC me...   3:40 AM 7/13/2017

# Indexing Pipeline (Overview)



# Indexing Pipeline (Overview)







# Line of Sight (LOS) Graphs

True **Node Labels/Relationships** are **unknown**

- After Symbol Recognition, each **Node** has top **k labels** with **probabilities**
  - $\sum_{\omega \in \Omega} p(\omega | s_x) \geq 80\%$   $k \leq 10$
- **Edges** have **3D unit vectors** indicating direction

$2x$

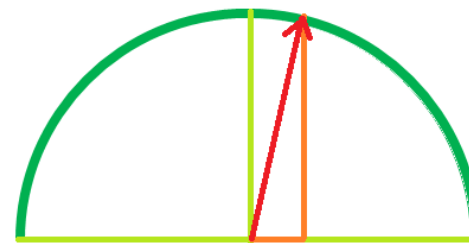
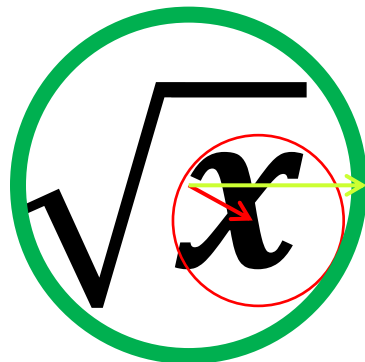
(0.707, 0.707, 0.000)

$2x$

(1.000, 0.000, 0.000)

$x^2$

(-0.707, -0.707, 0.000)



(0.146, -0.146, 0.978)

# Spatial Indexing using Symbol Pairs

## Inverted Index for Symbol Pairs

**Entries:** Pairs of symbol labels  $(\omega_1, \omega_2)$

**Posting lists:** Pair locations in images with  $(ID, p_1, p_2, \vec{c}, s_p(c_1, c_2))$

**Top k-labels per node  $(\Omega)$**

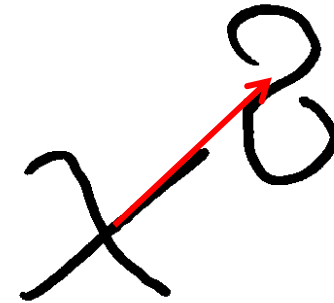
**Tuples Generated  $(\Omega_1 \times \Omega_2)$**

$(\omega_1, \omega_2, p_1, p_2, \vec{c}, s_p)$

$p_x - p(\omega_x | s_x)$

$\vec{c}$  - 3D Unit Vector from  $s_1$  to  $s_2$

$s_p$  - Size Ratio between  $s_1$  and  $s_2$



$S_1 = x$

$S_2 = 8$

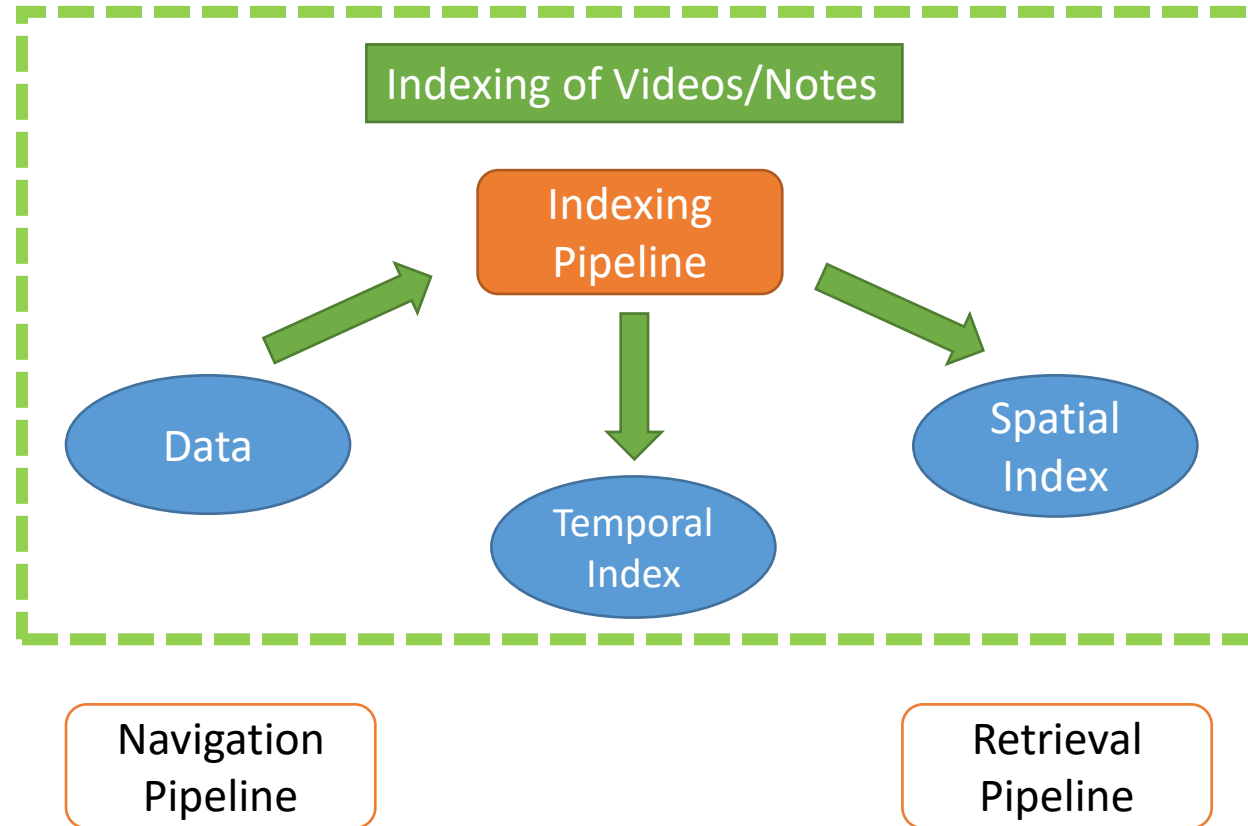
$\Omega_1 = \{(x, 0.8), (X, 0.2)\}$

$\Omega_2 = \{(8, 0.6), (\&, 0.3)\}$

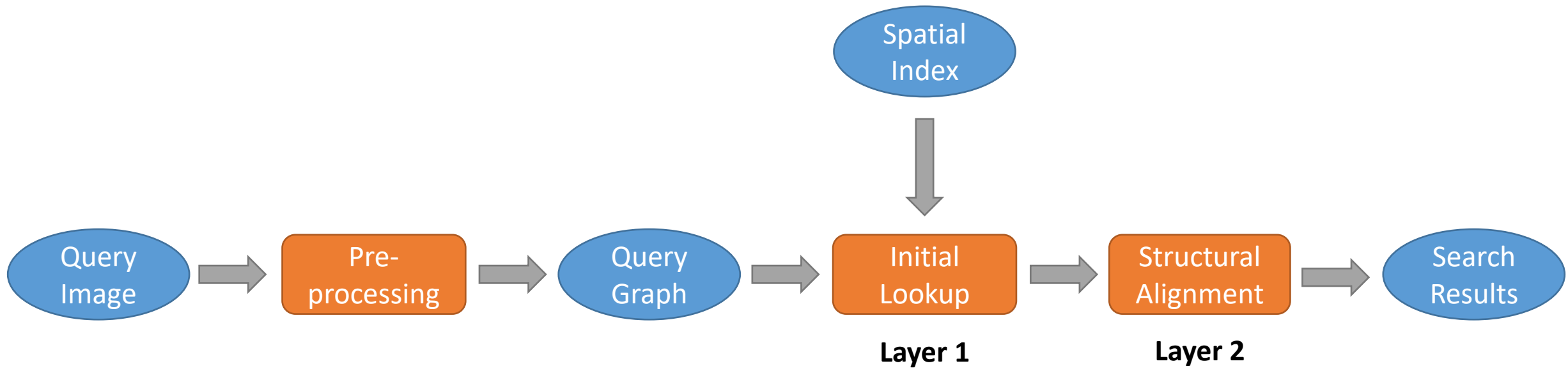
$\vec{c} = \langle 0.71, -0.71, 0.00 \rangle$

$s_p = 1.26$

# Tangent-V Overview



# Tangent-V Retrieval Model



# Layer 1: Initial Lookup

Query **symbol pairs** are used to find matches on their corresponding **entries** on the **inverted index** structure

A **match** between index symbol pair  $P^c = (c_1, c_2)$  and query pair  $P^q = (q_1, q_2)$  will be accepted as **valid** if and only if:

1 - They are **spatially consistent**:

$$\vec{c} \cdot \vec{q} \geq \cos(45^\circ)$$

2 - Optionally, if they have **consistent size ratios** (not too small/large)

Matching Pairs Scores are then **aggregated** by unique **Graph Pair IDs**

# Layer 2: Structural Alignment

Matching  
Pairs

Matching  
Subgraphs

# Layer 2: Structural Alignment

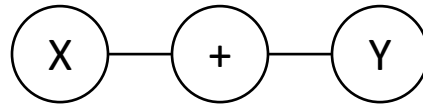
Matching Pairs



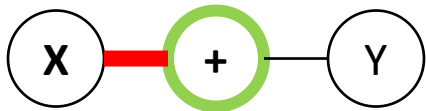
Greedy Match Growing

Matching Subgraphs

Query



Match 1



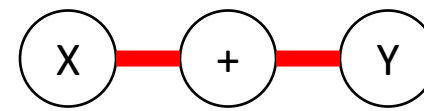
Score= 0.7

Match 2



Score= 0.5

New Match



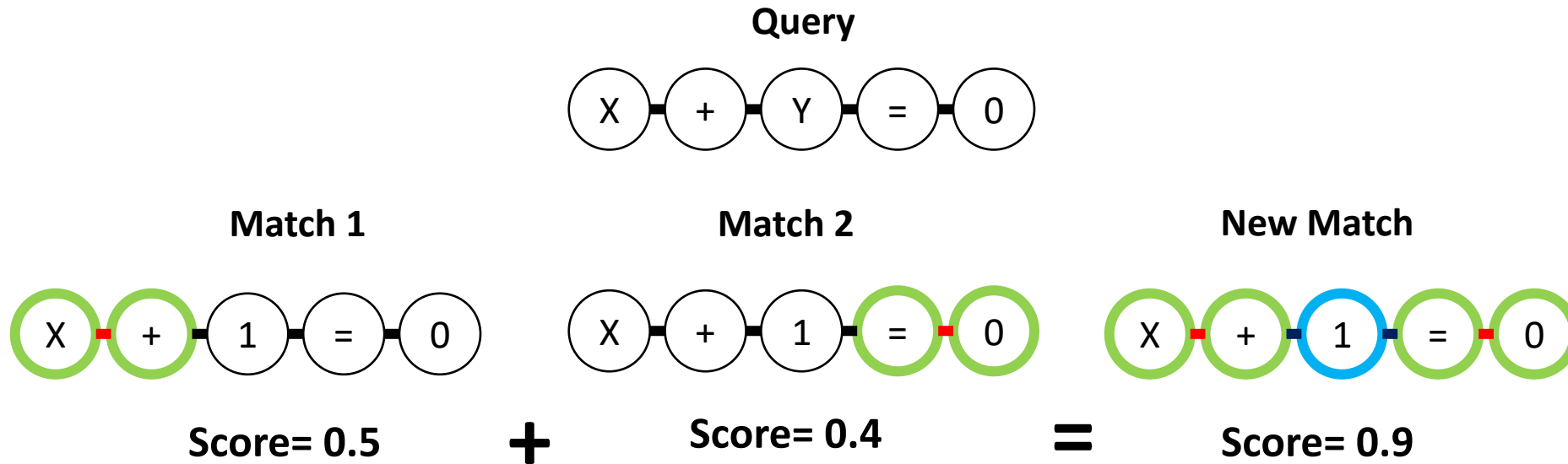
Score= 1.2

+

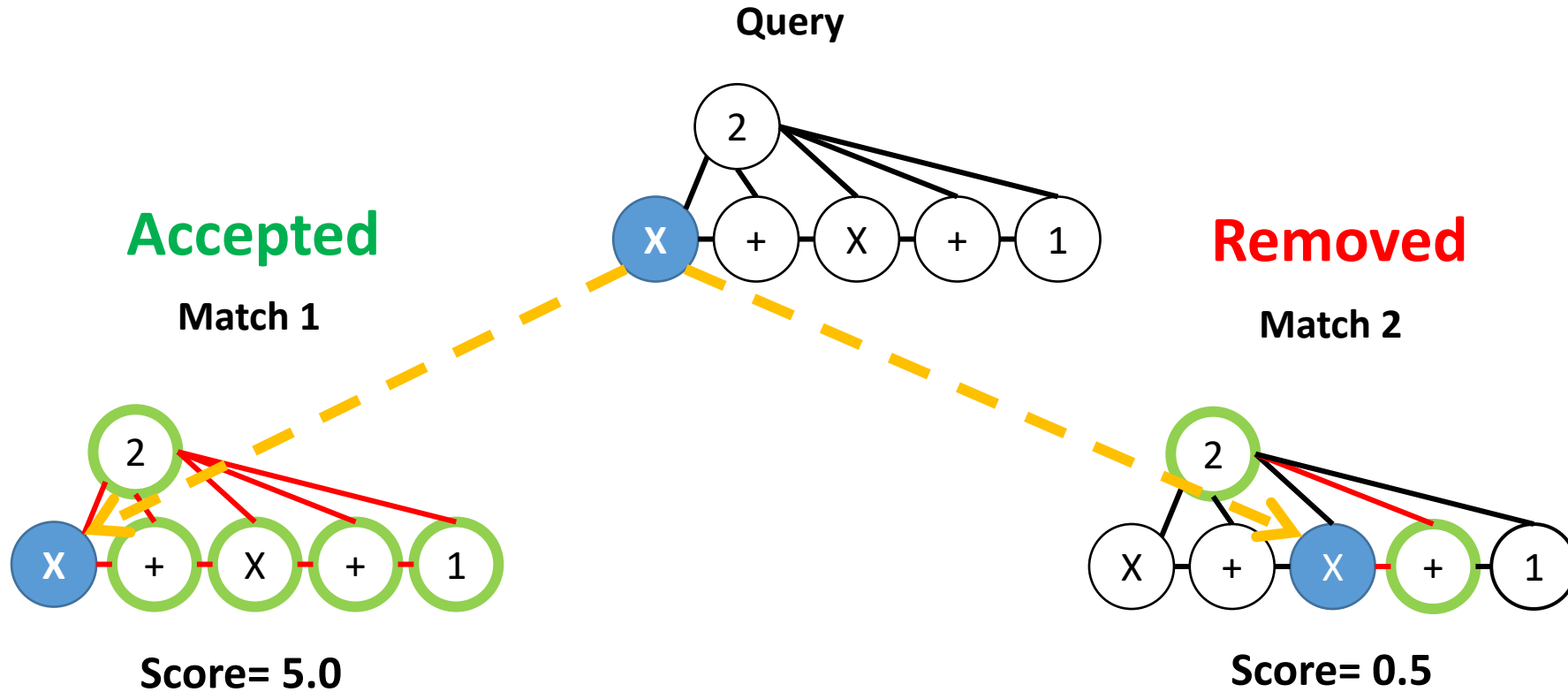
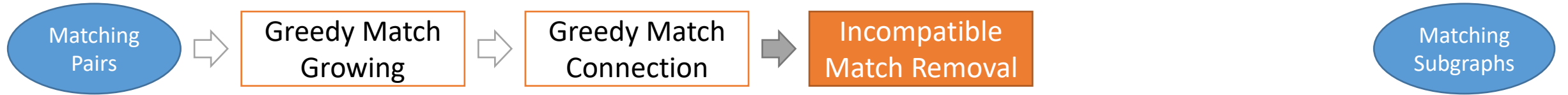
=



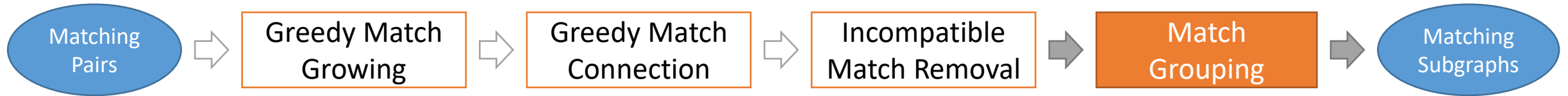
# Layer 2: Structural Alignment



# Layer 2: Structural Alignment



# Layer 2: Structural Alignment



Query:  $3x = 1$

$$\begin{array}{l} L_1: \boxed{3x = 1} \\ L_2: x + 2y = 3 \end{array} \left. \vphantom{\begin{array}{l} L_1 \\ L_2 \end{array}} \right\} \begin{array}{l} \leftarrow x = \frac{1}{3} \\ \text{Same match!} \end{array} \begin{array}{l} L_1: \boxed{3x = 1} \\ L_2: x + 2y = 3 \end{array} \left. \vphantom{\begin{array}{l} L_1 \\ L_2 \end{array}} \right\} \Rightarrow \underline{\underline{x = \frac{1}{3}}}$$

$\mathbb{R}^2 \rightarrow$  2 dimensional real Euclid space

From 2<sup>nd</sup> equ:  $\frac{1}{3} + 2y = 3$   
 $2y = \frac{8}{3}$   
 $\underline{\underline{y = \frac{4}{3}}}$

Lecture 01 – KF #5

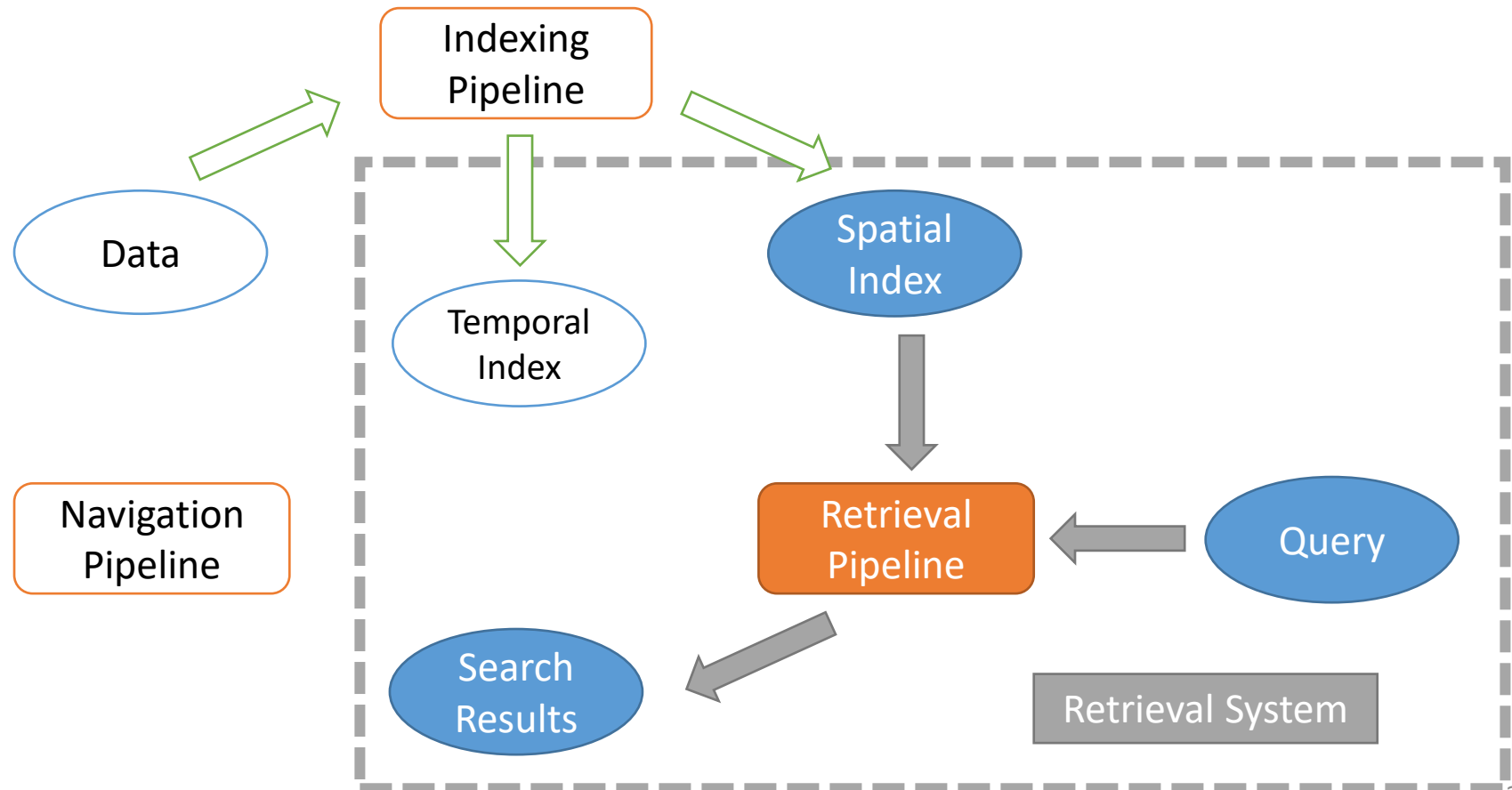
Lecture 01 – KF #6

# Match Scoring and Ranking

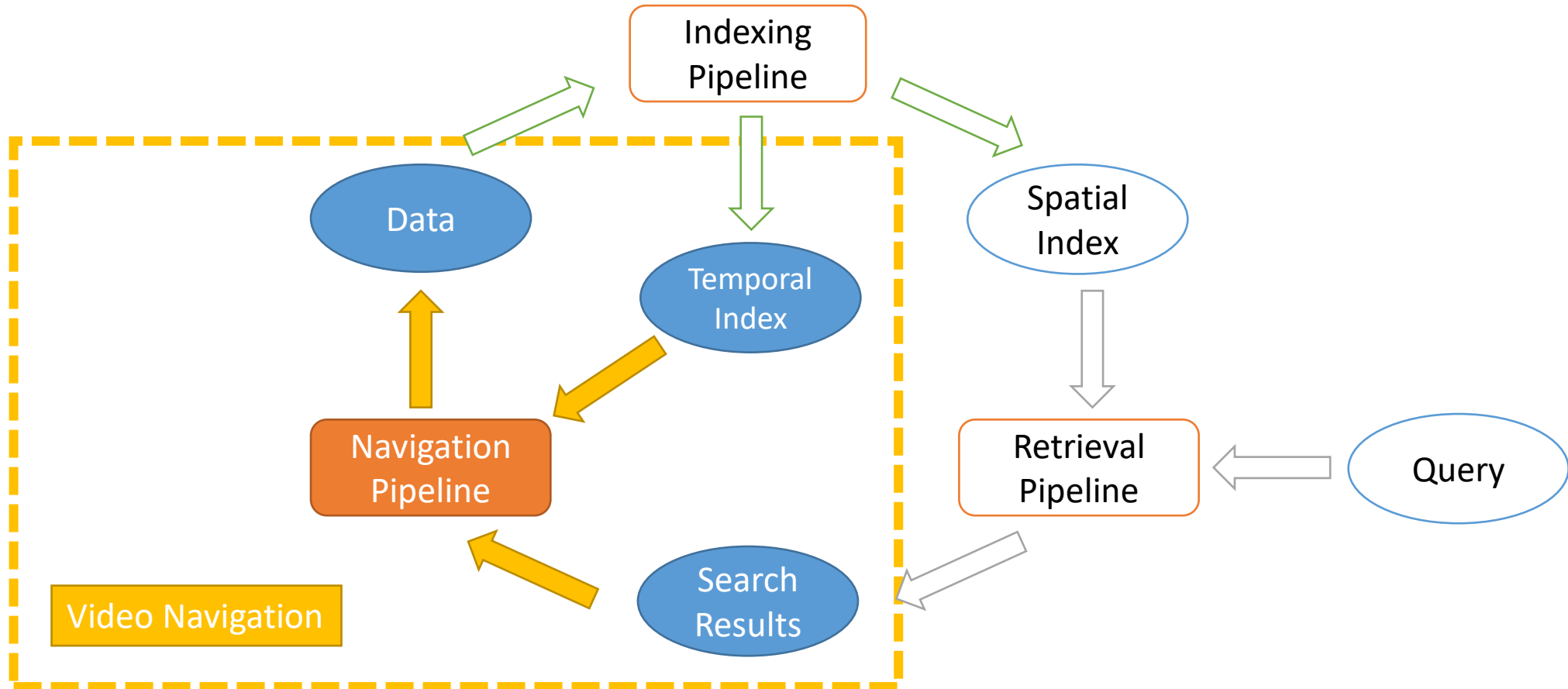
We introduce two **scoring schemes**:  $\alpha$  and  $h$

Item	$\alpha(M)$	$h(M)$
Description	A weighted <b>edge recall</b>	Harmonic mean of weighted <b>edge recall</b> and <b>node recall</b>
Edge weighting	<b>pair-wise</b> symbol alignments and <b>scaled cosine similarity</b>	<b>scaled cosine similarity</b>
Node weighting	-	<b>Individual</b> symbol alignments
Based on	-	<b>Maximum Subtree Similarity (MSS)</b> [1]
Execution Times	Faster	Slower

# Tangent-V Overview



# Tangent-V Overview



# Lecture Video Navigation from Search Results

QueryQuery-16

file:///D:/RIT\_WS/AccessMath2/AccessMath/output/search\_results/eval\_queries\_latex/Query-16/Query-16.html

Search

$(e)$

$W = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$

in we find  $C_{1,2}$

Hint

---

**Query Match** Match on: NM\_lecture\_04  
**Score:** 15.0678% **Keyframes:** 84129, 85550, 89755

$(\pi)$

Say  $v_2 =$

$\begin{bmatrix} 1 \\ 1 \end{bmatrix} = \pi \begin{bmatrix} 1 \\ 1 \end{bmatrix}$

Span  $\{v_1, v_2\}$

---

**Query Match** Match on: lecture\_11  
**Score:** 15.0008% **Keyframes:** 101472

$(\pi)$

$\pi x, \sqrt{2}x^2, 0$

$P(x) = ?$

Type here to search

QueryQuery-16 - M...

Streaming - VLC me...

3:39 AM  
7/13/2017

Check our demo at: <https://youtu.be/gn24qo1MLN0>

# Experiments

## AccessMath **Dataset**

- 13 Lecture videos with supplementary notes

A total of 20 evaluation **queries** were chosen with rejection sampling

A total of 4 combinations of Query-vs-Index **modalities**

- Handwritten expressions
- Typeset expressions

For a given query, the **target** is to find a math expression that **contains** the **whole query graph**

- query is **same expression**
- query is **sub-expression**



# Evaluation Metrics

Two **metrics** are considered

- Recall @ 10: Target found @ rank  $\leq 10$
- MRR @ 10: Mean of Reciprocal Rank (RR), with

$$RR = \begin{cases} \frac{1}{r} & 1 \leq r \leq 10 \\ 0 & \textit{otherwise} \end{cases}$$

# Results: Recall @ 10

		Weighted Edge Recall $\alpha$			Harmonic Mean $h$		
Query	Index	$\alpha$	$\alpha_{\wedge}$	$\alpha_{\wedge s}$	$h$	$h_{\wedge}$	$h_{\wedge s}$
L <sup>A</sup> T <sub>E</sub> X		<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>
Whiteboard		0.95	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>
L <sup>A</sup> T <sub>E</sub> X	Whiteboard	0.95	0.95	0.90	0.95	<b>1.00</b>	0.95
Whiteboard	L <sup>A</sup> T <sub>E</sub> X	0.80	0.85	0.85	<b>0.90</b>	<b>0.90</b>	<b>0.90</b>

# Results: MRR @ 10

		Weighted Edge Recall $\alpha$			Harmonic Mean $h$		
Query	Index	$\alpha$	$\alpha_{\wedge}$	$\alpha_{\wedge s}$	$h$	$h_{\wedge}$	$h_{\wedge s}$
L <sup>A</sup> T <sub>E</sub> X		0.98	<b>1.00</b>	<b>1.00</b>	0.98	<b>1.00</b>	<b>1.00</b>
Whiteboard		0.93	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>
L <sup>A</sup> T <sub>E</sub> X	Whiteboard	0.66	0.69	0.71	<b>0.89</b>	0.84	0.86
Whiteboard	L <sup>A</sup> T <sub>E</sub> X	0.63	0.71	0.74	0.74	0.78	<b>0.84</b>

# Conclusions

**Tangent-V** is effective for search between Typeset and Handwriting

- **Multiple labels help** finding targets when recognition accuracy is low

**Tangent-V** can also be used to create **navigational tools**

**New symbol recognizers** can be used for indexing of **new domains**

- **Code** is released for others to try on new domains (<http://cs.rit.edu/~dprl/Software.html>)

**Future work:**

- Test unsupervised symbol classification
- Explore Vector formats
- Speed-up search

# Thank You!

**Source code:** [www.cs.rit.edu/~dprl/Software.html](http://www.cs.rit.edu/~dprl/Software.html)

This material is based upon work supported by the **National Science Foundation** (USA) under Grants No. IIS-1016815 and HCC-1218801.

We also thank **Anurag Agarwal** for helping in the creation of the lecture videos used to evaluate our system.

